

FORM PTO-1390\*  
(REV. 12-2004)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1419.114

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

To be assigned

107539568

INTERNATIONAL APPLICATION NO.

PCT/JP2003/016112

INTERNATIONAL FILING DATE

December 16, 2003

PRIORITY DATE CLAIMED

December 20, 2002

TITLE OF INVENTION

MOVING TOY

APPLICANTS FOR DO/EO/US

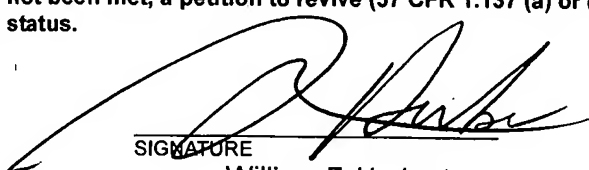
Kimitaka WATANABE, Takashi ICHIKAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ Assignment documents for recording. Separate cover sheets in compliance with 37 CFR 3.28 and 3.31 are included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

|   |              |  |            |   |          |   |  |
|---|--------------|--|------------|---|----------|---|--|
| U.S. APPLICATION NO. (If known, add 37 CFR 1.5)<br><b>To be assigned 10539568</b>   |              |  |            | INTERNATIONAL APPLICATION NO.<br>PCT/JP2003/016112  |          | ATTORNEY'S DOCKET NUMBER<br><b>1419114</b>  |  |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:   |              |  |            |   |          | APPLICANT USE   |  |
| <input checked="" type="checkbox"/> a) Basic National Fee ..... \$300.00  |              |  |            |   |          | \$300.00  |  |
| <input checked="" type="checkbox"/> b) Examination Fee ..... \$200.00   |              |  |            |   |          | \$200.00  |  |
| <input checked="" type="checkbox"/> c) Search Fee ..... \$500.00  |              |  |            |   |          | \$500.00  |  |
| <b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>  |              |  |            |   |          | \$1,000.00  |  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each addition 50 sheets of paper or fraction thereof.  |              |  |            |   |          |   |  |
| Total Sheets  | Extra Sheets | Number of each additional 50 or fraction thereof |            | Rate  |          |   |  |
| * - 100 =   | * /50 =      |  |            | X 250.00  |          |   |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |            |   |          | \$  |  |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA                                     | RATE       |   | \$       |   |  |
| Total claims  | 25- 20 =     | 5  | x \$50.00  |   | \$250.00 |   |  |
| Independent claims  | 2- 3 =       | 0  | X \$200.00 |   | \$       |   |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |  |            |   |          | + \$360.00  |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |  |            |   |          | Repin. Ref: 12/02/2005 MALVARAD 0019502400<br>DAH:193935 Name/Number: 10539568<br>\$100.00 CR |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fee is reduced by 1/2.  |              |  |            |   |          | \$  |  |
| <b>SUBTOTAL =</b>   |              |  |            |   |          | \$  |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |  |            |   |          | \$  |  |
| <b>TOTAL NATIONAL FEE =</b>   |              |  |            |   |          | \$  |  |
| Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignments must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |  |            |   |          | + \$120.00  |  |
| <b>TOTAL FEES ENCLOSED =</b>  |              |  |            |   |          | <b>\$1,370.00</b>   |  |
| Amount to be refunded:  |              |  |            |   |          | \$  |  |
| Amount to be charged:   |              |  |            |   |          | \$  |  |
| a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1,370.00</b> to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. |              |  |            |   |          |   |  |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>  |              |  |            |   |          |   |  |
| SEND ALL CORRESPONDENCE TO:<br><br>Staas & Halsey LLP<br>1201 New York Avenue, N.W., Suite 700<br>Washington, DC 20005  |              |  |            | <div style="text-align: center;"> <br/>           SIGNATURE<br/>           William F. Herbert<br/>           NAME<br/>           31.024<br/>           REGISTRATION NUMBER         </div> |          |   |  |